

PROVITT CONSULTANTS

SEBI Registered Investment Advisor

Address: Wework Blueone Square, 246, Phase IV, Udyog Vihar, Gurugram, Haryana 122016

Email: info@provittconsultants.com Website: https://www.provittconsultants.com

ACTION TAKEN REPORT

Regulation	<u>Particulars</u>	Investment Advisor Remarks
Regulation 15	General Responsibility The regulation 15 imposes certain responsibilities on the Investment Adviser for the transactions it undertakes on behalf of its clients.	The investment advisor, Provitt Consultants has registered with CAMS KRA and has fetched all required KYC documents and records along with the clients FATCA details. All the clients are KYC and FATCA complaint and their records in the KRA are mapped with Provitt Consultants. The CKYC registration process and documentation has also been completed.
Regulation 19	Maintenance of records This regulation requires maintenance of prescribed records, preservation of the same and audit of such records by the prescribed professional.	The investment advisor, Provitt Consultants has registered with CAMS KRA and has fetched all required KYC documents and records along with the clients FATCA details. All the clients are KYC and FATCA complaint and their records in the KRA are mapped with Provitt Consultants. The CKYC registration process and documentation has also been completed.
SEBI Master circular Ref. No. SEBI/HO/MIRSD-PoD- 2/P/CIR/2023/89/ dated June 15, 2023 - VI (16)	Requirements under Foreign Account Tax Compliance Act: Compliance of aforementioned point VI (16) of master circular by registered investment advisers	The investment advisor, Provitt Consultants has registered with CAMS KRA and has fetched all required KYC documents and records along with the clients FATCA details. All the clients are KYC and FATCA complaint and their records in the KRA are mapped with Provitt Consultants. The CKYC registration process and documentation has also been completed.

Investment Advisor Signature:

For Provitt Consultants

Partner \
(Shalini Gera)